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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/785,546 | 02/16/2001 | Sonya Franklin | 875.037US1 |

21186

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P.O. BOX 2938
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CONFIRMATION NO. 5198

FORMALITIES LETTER



OC000000006259494

Date Mailed: 07/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/17/2001 HTECKLU1-00000013-09785546

01 FC:201 370.00 OP
02 FC:203 108.00 OP
03 FC:205 65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

10/17/2001 HTECKLU1 00000013 09785546

01 FC:201 370.00 OP
02 FC:203 108.00 OP
03 FC:205 65.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$216.
 - \$216 for 12 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1056.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604

(September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

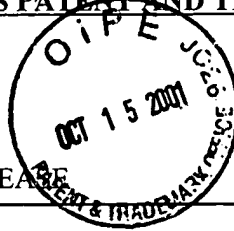
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sonya Franklin
Serial No.: 09/785,546
Filed: February 16, 2001
Title: ARTIFICIAL ENDONUCLEASE

Examiner:
Group Art Unit: 1653
Docket: 875.037US1

COMMUNICATION RE: CORRECTED APPLICATION PAPERS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Corrected Application Papers" (see enclosed copy). Enclosed are substitute specifications and sequence listing for the above-identified application.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

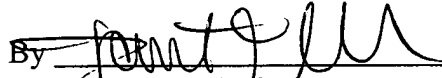
Respectfully submitted,

SONYA FRANKLIN

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6959

Date October 15, 2001

By 
Janet E. Embretson
Reg. No. 39,665
JEE:CMG:pms

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 5 day of October, 2001.

PAULIA SMYKALSKI:
Name


Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

sector/#

Box sep

Applicant: Sonya Franklin

Title: ARTIFICIAL ENDONUCLEASE

Docket No.: 875.037US1

Filed: February 16, 2001

Examiner:



Serial No.: 09/785,546

Due Date: October 5, 2001

Group Art Unit: 1653

Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$478.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ ~~A check in the amount of \$55.00 to cover the Extension of Time Fee~~ Please Charge to Deposit Account \$55.00
- ☒ Petition for Extension of Time (1 pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Combined Declaration and Power of Attorney (3 pgs.).
- ☒ Notice to File Missing Parts (1pg.)
- ☒ Communication Re: Corrected Application Papers (1 pg.)
- ☒ Substitute Specification (13 pgs.).
- ☒ Sequence Listing (3 pgs.).
- ☒ Electronic version of Sequence Listing (one diskette)
- ☒ Preliminary Amendment (3 pgs.)

Applicant claims small entity status under 37 C.F.R. 1.27.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Janet E. Embretson
Name: Janet E. Embretson
Reg. No. 39,665
JEE:CMG:pms

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 5 day of October, 2001.

PAULA SMYKALSKI
Name

Paula Smykalski
Signature